

DEVA MATHA COLLEGE, KURAVILANGAD

Affiliated to Mahatma Gandhi University, Kottayam



Syllabus

ADD-ON COURSE

In

CERTIFICATE COURSE IN MUTUAL FUND DISTRIBUTION

Academic Year: 2021-22



DEVA MATHA COLLEGE KURAVILANGAD

DEPARTMENT OF COMMERCE

Add on Courses offered for Students : 2021-2022

- **Digital Marketing and Online Business - *for II DC Students***
DMCK/ COM/AD 06/2021
- **Research Capability Enhancement - *for III DC Students***
DMCK/ COM/AD 07/2021
- **IIPG-Data Analytics Using R- 14 - *for PG Students***
DMCK/STATS/AD 38/2021
- **IPG-Certificate Course in Mutual Fund Distribution**
DMCK/ COM/AD 08/2021

Department Coordinators : Ms. Soumya Sebastian (UG)
Mr. Renny A. George(PG)

Title	: Certificate Course in Mutual Fund Distribution
Instructional Hours	:30 Hrs
Duration	:3 Months
Mode of Instruction	:Offline
Intake Capacity	:20 Students
Eligibility	:Graduation

Syllabus

Duration of the Course: 30 Hrs.

OBJECTIVES-

1. To know the basics of mutual funds and different kinds of mutual fund schemes.
2. To know the mutual fund investment process and various intermediaries involved.
3. To understand the mutual fund valuation concepts.
4. To learn the legal and regulatory environment of mutual funds.

Module 1- Introduction to Mutual Funds

Meaning- Objectives- Advantages – Role of the Sponsor, Trustee and AMC, Regulatory provisions with respect to Sponsor, Trustee and AMC- Role and Functions of Service Providers of mutual fund- Payment Aggregators- Advantages and limitations of Mutual Funds. **(10 Hours)**

Module II- Classification of Mutual Funds & Investing in Mutual Funds

Open Ended Fund- Close Ended Fund- Exchange Traded – SIP- Investing in Mutual Funds: Understanding the Process, Investment Objective- Prospectus / Offer Document and Key Information Memorandum, Processes, Rights and Obligations for Investors. **(10 Hours)**

Module III – Valuation, Legal and Regulatory Environment

Concept of Net Asset -Value Role of SEBI in regulating mutual funds, SEBI Advertising Code for Mutual Funds- Functions of AMFI,AMFI Code of Ethics (ACE)

and AMFI Guidelines and Norms for Intermediaries (AGNI), Due Diligence process for its distributors, Rights and obligations of investors in a mutual fund ,New Fund Offer, Statement of Additional Information (SAI) and Scheme Information Document (SID),Key Information Memorandum (KIM). **(10 Hours)**

OUTCOME OF THE COURSE

1. At the end of the course, students are aware about mutual fund and the various schemes of mutual fund.
2. The students become more interested to invest their small savings in mutual fund.

Assessment Procedure

Mode of Evaluation: Attendance, Assignment and Examination

Grading

95 and above - A+

85 to 95 - A

75 to 85 - B

65 to 75 - C

55 to 65 - D



Principal
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